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Filtering of matter symmetry properties by circularly polarized nonlinear optics

Julien Duboisset,^{*} Hervé Rigneault, and Sophie Brasselet

Aix-Marseille Université, CNRS, Centrale Marseille, Institut Fresnel, UMR 7249, 13013 Marseille, France

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We propose a direct readout of symmetry information in matter using nonlinear optics. From combinations of circularly and longitudinally polarized optical fields, we construct irreducible spherical field tensors for second- and third-order nonlinear processes. The coupling of these field tensors to the matter susceptibility tensors allows filtering out of the susceptibility symmetries independently of the sample orientation in the laboratory frame. Experimental demonstrations are conducted on microcrystals, in a microscopy configuration compatible with symmetry order imaging.

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I. INTRODUCTION

Acquiring structural information in an assembly of atoms and molecules requires the knowledge of its symmetry elements, which are fundamental building blocks of matter. Using symmetry as an observable appears therefore as an optimal way to probe the structural properties of complex materials and biological processes, which would be moreover interesting to obtain at high spatial and temporal resolution. Reading out of symmetry properties of matter is possible using nonlinear optics, taking advantage of the intrinsic relation between symmetry and nonlinear susceptibilities [1–3]. Second-order harmonic generation (SHG), for instance, is known to be allowed only in materials without symmetry inversion, whereas third-order nonlinearities, such as third-order harmonic generation (THG), four-wave mixing (FWM), or coherent Raman scattering (CRS) can occur in materials belonging to isotropic or centrosymmetric crystallographic groups [4]. Moreover, nonlinear optics offers the possibility of probing high-order symmetry information, thanks to the multiple fields' coupling mechanisms involving a large number of degrees of freedom in terms of light polarization states [5].

A large amount of work has been carried out to relate formally the probed nonlinear susceptibility tensors with the symmetry properties of matter [1,6,7]. Nonlinear Cartesian tensors can be expanded in their spherical representation in such a way that their components transform under proper rotations similarly to spherical harmonics of symmetry degree l and order m [2,8–10]. Following this spherical representation, harmonic light scattering signals in liquids have been used to extract the symmetry components of molecular nonlinear hyperpolarizability tensors [1,3,11], allowing the development of an original molecular engineering strategy that has led to materials with optimized nonlinear responses [12,13]. While successful for understanding molecular-scale properties, this approach has apparently not yet been applied to molecular assemblies: second-order [14,15] and third-order [16–18] Cartesian susceptibility tensors of molecular materials and biological samples are usually probed by sets of linear polarization states, which is time consuming and necessitates disentangling complex Cartesian expressions to retrieve information on molecular orientations and symmetry orders.

Accessing symmetry information in a direct way in ordered media is possible, provided that the spherical irreducible tensorial description is applied not only to matter but also to the electromagnetic fields. Within this representation, it is even possible to envision the filtering out of specific symmetry orders of matter, selecting proper sets of exciting fields. First proposed in Raman scattering [19] and later in the context of optical poling in organic materials [11], this approach has apparently not yet been exploited in the general frame of nonlinear optics. Here we apply the spherical irreducible tensorial description to both fields and matter in the cases of second-order and third-order nonlinear optical processes. Using fields with circular polarization states, we demonstrate the possibility of directly accessing in-plane sample symmetry, regardless of its orientation in the laboratory frame. We apply symmetry filtering to SHG and FWM microscopy imaging in micron-scale crystals of different symmetries, and show its potential to create additional contrast mechanisms.

II. THEORY

A. Filtering process

The electric nonlinear polarization is defined by the constitutive relationship

$$\vec{P} = \epsilon_0 \bar{\chi}^{(n)} : \vec{E}_1 \otimes \cdots \otimes \vec{E}_n, \quad (1)$$

where $\bar{\chi}^{(n)}$ denotes the nonlinear susceptibility tensor of order n , $\vec{E}_1, \dots, \vec{E}_n$ are the vectorial fields involved in the nonlinear interaction, and \otimes denotes the tensorial product. Exhibiting the norm of \vec{P} and the complex unitary vectors \hat{e}_i such as $\vec{P} = P_0 \hat{e}_0$, and $\vec{E}_i = E_i \hat{e}_i$, Eq. (1) becomes

$$P_0 = \epsilon_0 (E_1, \dots, E_n) \bar{\chi}^{(n)} \bullet [\hat{e}_0^* \otimes \hat{e}_1 \otimes \cdots \otimes \hat{e}_n], \quad (2)$$

where \bullet denotes the tensorial scalar product and \hat{e}_0^* is the complex conjugate of the dipole polarization. Here all the polarization dependencies are collected in a unique “polarization tensor” [10,11]

$$\bar{F}^{(n)} = \hat{e}_0^* \otimes \hat{e}_1 \otimes \cdots \otimes \hat{e}_n, \quad (3)$$

showing that P_0 is finally the projection of the susceptibility tensor $\bar{\chi}^{(n)}$ on the polarization tensor $\bar{F}^{(n)}$.

In what follows, we show how this projection leads to a filtering out of the information on matter symmetry. Since symmetry must be decoupled from any orientation information, we use the irreducible spherical formalism where

^{*}julien.duboisset@fresnel.fr

tensors are expanded on an orthonormal basis exhibiting rotational invariance. This representation allows identification of tensorial quantities based on pure symmetry considerations rather than a complex mixture of orientation and symmetry, such as is found in a Cartesian basis [1,8]. An irreducible spherical n -order tensor is labeled by its symmetry order $l \leq n+1$ and possesses $m = 2l+1$ independent components, related to the spherical harmonics Y_m^l [8,20].¹ The coefficient m can moreover be interpreted as the symmetry order of the tensor into the sample plane (x, y), z being the direction of propagation of the excitation and detected fields. In such a representation, $\bar{\chi}^{(n)}$ is decomposed on spherical-basis-like components \bar{C}_m^l , as introduced in [2,21], with amplitudes χ_m^l

$$\bar{\chi}^{(n)} = \sum_{lm} \chi_m^l \bar{C}_m^l. \quad (4)$$

B. Irreducible field tensor

Similarly to the $\bar{\chi}^{(n)}$ tensor, the field tensor $\bar{F}^{(n)}$ can be expanded under this irreducible spherical formalism. To calculate the spherical components of $\bar{F}^{(n)}$, each individual field polarization \hat{e}_i of Eq. (3) must be represented in a spherical basis preserving the rotational invariance. The development below details the buildup of irreducible spherical representations for second- and third-order processes. For $l = 1$, the three independent vectors \hat{e}_m with $m = (-1, 0, 1)$ are expressed in the Cartesian frame as [1]

$$\begin{aligned} \hat{e}_{m=+1} &= 1/\sqrt{2}(+i\hat{e}_x + \hat{e}_y), \\ \hat{e}_{m=-1} &= 1/\sqrt{2}(-i\hat{e}_x + \hat{e}_y), \\ \hat{e}_{m=0} &= -i\hat{e}_z. \end{aligned} \quad (5)$$

These field vector components coincide with the in-plane left circularly polarized field ($m = +1$), right circularly polarized field ($m = -1$), and axial polarization field along z ($m = 0$), which are all invariant through rotations around the \hat{z} axis. The irreducible representation of higher-order field tensors, built from this rank-1 expression in Eq. (3), have been described in the context of nonlinear optics by Maker [1]. The first step to expressing the rank-3 polarization tensor is to couple three irreducible tensors of rank one using the Clebsch-Gordan coefficients. A second step is required to take into account the different way of coupling the fields with respect to the symmetry of index permutation, using the $6j$ Wigner coefficients. We limit this study to symmetric tensors, in which all the index permutations are allowed. Following this operation, the spherical field tensor basis components involved in symmetric nonlinear second-order processes (rank 3) are given by

$$\begin{aligned} \bar{F}_m^{(2),l} &= \sum_{m_0 m_1} \sum_{m_2} U_{l_i}^l (l_2 m_2 l_1 m_1 | l_i m_i) (l_i m_i l_0 m_0 | l m) \\ &\times [\hat{e}_{m_0} \otimes \hat{e}_{m_1} \otimes \hat{e}_{m_2}] \\ &= \sum_{m_0 m_1 m_2} \mathfrak{M}_{m_0, m_1, m_2}^l [\hat{e}_{m_0} \otimes \hat{e}_{m_1} \otimes \hat{e}_{m_2}], \end{aligned} \quad (6)$$

¹Using the convention of Eq. (5), \bar{F}_m^l is related to Y_m^l by a phase factor i^l .

with $l_0 = l_1 = l_2 = 1$. $(l_i m_i l_j m_j | l_k m_k)$ is the Clebsch-Gordan coefficient with $m_k = m_i + m_j$ and $|l_j - l_i| \leq l_k \leq l_j + l_i$. $U_{l_i}^l$ is the symmetric recoupling matrix introduced in [1,22], whose values are given in the Appendix. $\mathfrak{M}_{m_0, m_1, m_2}^l$ is a global coefficient encompassing all sums over (l_i, m_i) indices, nonvanishing only for $m = m_0 + m_1 + m_2$. The irreducible field tensor spherical basis components for third-order processes (rank 4) is built in the same way. A symmetric tensorial product with a fourth polarization vector \hat{e}_3 is combined with Eq. (6), leading to

$$\begin{aligned} \bar{F}_m^{(3),l} &= \sum_{m_0, m_1} \sum_{m_2, m_3} \sum_{l_i, m_i} (l_3 m_3 l_2 m_2 | l_i' m_i') (l_i' m_i' l_1 m_1 | l_i m_i) \\ &\times (l_i m_i l_0 m_0 | l m) V_{l_i}^l U_{l_i}^{l_i'} [\hat{e}_{m_0} \otimes \hat{e}_{m_1} \otimes \hat{e}_{m_2} \otimes \hat{e}_{m_3}] \\ &= \sum_{m_0, m_1} \sum_{m_2, m_3} \mathfrak{M}_{m_0, m_1, m_2, m_3}^l [\hat{e}_{m_0} \otimes \hat{e}_{m_1} \otimes \hat{e}_{m_2} \otimes \hat{e}_{m_3}], \end{aligned} \quad (7)$$

where $V_{l_i}^l$ is the symmetric recoupling matrix [22] detailed in the Appendix, and $\mathfrak{M}_{m_0, m_1, m_2, m_3}^l$ is a coefficient which is nonvanishing only for $m = m_0 + m_1 + m_2 + m_3$.

C. Effective nonlinear susceptibility

Equations (6) and (7) show finally that the irreducible spherical tensors $\bar{F}_m^{(n),l}$ are built from all basis polarization field combinations (m_0, \dots, m_n) which verify $m = m_0 + \dots + m_n$, with weight coefficients $\mathfrak{M}_{m_0, \dots, m_n}^l$, reported in Table I. Conversely, the tensor field combination in Eq. (3) can be derived for incoming circular or z -polarized fields

$$\hat{e}_{m_0} \otimes \dots \otimes \hat{e}_{m_n} = \sum_l \mathfrak{M}_{m_0, \dots, m_n}^l \bar{F}_m^l. \quad (8)$$

Using the orthonormality property of the irreducible spherical susceptibility tensor \bar{C}_m^l and the irreducible spherical basis tensor \bar{F}_m^l , this leads to

$$P_0 = \epsilon_0 \xi_m (E_1 \dots E_n), \quad (9)$$

with

$$\xi_m = \sum_l (-1)^l \chi_m^l \mathfrak{M}_{m_0, \dots, m_n}^l \quad (10)$$

where ξ_m can be interpreted as the rank- n effective susceptibility of the probed matter, labeled by its in-plane symmetry order $m = m_0 + \dots + m_n$. It encompasses the full χ_m^l components by a summation over all three-dimensional (3D) symmetry orders l . Note that the use of a z axial polarization would provide enough degrees of freedom to disentangle in-plane versus 3D information.

This spherical expansion shows finally that the use of circular polarizations for the exciting and detection fields has the unique ability to singularize individual symmetry orders m and therefore to filter out in-plane symmetry information of matter in a single experimental scheme. In contrast, the use of linear polarizations would lead to a mixture of m orders that can be extracted only by sequential excitation and detection schemes involving different polarization state directions [11,14,15,17]. The inspection of the $\mathfrak{M}_{m_0, \dots, m_n}^l$ values show

TABLE I. $\mathfrak{M}_{m_0, \dots, m_n}^l$ values relating the field polarization tensorial products (rows) and the spherical irreducible tensors \bar{F}_m^l (columns). No value means zero. A square root sign is to be understood over every coefficient, e.g., $\mathfrak{M}_{m_0=0, m_1=1, m_2=1}^{l=3} = \sqrt{1/3}$. Due to the symmetric construction of the irreducible spherical tensor, permutations are allowed and, for instance $\hat{e}_1 \otimes \hat{e}_0 \otimes \hat{e}_0 = \hat{e}_0 \otimes \hat{e}_1 \otimes \hat{e}_0 = \sqrt{4/15} \bar{F}_1^3 - \sqrt{1/15} \bar{F}_1^1$.

\hat{e}_{m_0}	\hat{e}_{m_1}	\hat{e}_{m_2}	\hat{e}_{m_3}	\bar{F}_4^4	\bar{F}_3^4	\bar{F}_2^4	\bar{F}_1^4	\bar{F}_0^4	\bar{F}_3^3	\bar{F}_2^3	\bar{F}_1^3	\bar{F}_0^3	\bar{F}_2^2	\bar{F}_1^2	\bar{F}_0^2	\bar{F}_1^1	\bar{F}_0^1	\bar{F}_0^0
e_1	e_1	e_1	e_1	1														
e_0	e_1	e_1	e_1		1/4													
e_{-1}	e_1	e_1	e_1			1/28							3/14					
e_0	e_0	e_1	e_1			1/7							-1/42					
e_{-1}	e_0	e_1	e_1				1/28							1/21				
e_1	e_0	e_0	e_0				1/7							-3/28				
e_{-1}	e_1	e_1	e_{-1}					1/70							4/63			4/45
e_{-1}	e_1	e_0	e_0					4/70							1/252			-1/45
e_0	e_0	e_0	e_0					8/35							-4/7			1/5
e_1	e_1	e_1							1									
e_0	e_1	e_1								1/3								
e_{-1}	e_1	e_1									1/15					4/15		
e_1	e_0	e_0									4/15					-1/15		
e_1	e_{-1}	e_0										1/10					1/15	
e_0	e_0	e_0										2/5					-3/5	

that in the case of nonlinear rank-3 processes (SHG, etc.), two symmetry orders are accessible: ξ_1 (dipolar contribution) and ξ_3 (octupolar contribution) [3], which both exhibit non-centrosymmetry as expected. Rank-4 processes (THG, FWM, CRS, etc.) give access to isotropic ξ_0 , second ξ_2 , and fourth ξ_4 orders. Table I can be used to identify which circular field combination leads to these orders, paying attention to the presence of conjugated fields in the nonlinear processes, which imply a change of the m_i sign (since $\hat{e}_{m_i}^* = \hat{e}_{-m_i}$). For instance, the filtering process which extracts ξ_0 in Eq. (9) will require the set $\hat{e}_{-1}\hat{e}_1\hat{e}_{-1}\hat{e}_1$ according to Table I. But due to the complex conjugates of the emitted field m_0 and the Stokes field m_3 in FWM, the experimental set of polarizations used to probe the isotropic order ξ_0 must be $\hat{e}_1\hat{e}_1\hat{e}_1\hat{e}_1$. Using the same experimental set, the third-order harmonic generation is sensitive to ξ_2 instead of ξ_0 [23–25].

III. EXPERIMENTAL RESULTS

A. Experimental setup

To experimentally illustrate nonlinear light-matter symmetry filtering, microscopy experiments were conducted on microcrystals using circular polarizations. Microcrystals of 1,3,5-tricyano-2,4,6-tris(*p*-diethyl-aminostyryl)benzene (TTB) [26] and NaCl were imaged using second-order (SHG) and third-order (FWM) nonlinear processes. The TTB crystals were obtained by evaporation [26] and NaCl crystals were prepared by spraying saturated salt water on a cover glass. The crystal samples were covered with oil for index-matching purposes. The setup for polarized SHG and FWM microscopy is based on previously reported works [14,17]. Briefly, the light is focused on the sample using an objective lens ($\times 40$ numerical aperture 0.6). Images are obtained by moving the sample with a piezoscanning stage and the emitted signal is collected in the forward direction. The circular excitation polarization states are implemented using a unique achromatic quarter-wave plate just before the objective, the control of its left- or right-circular nature being performed beforehand

using appropriate half-wave plates in the excitation paths involved. The emitted light is analyzed using an achromatic quarter-wave plate oriented at 45° with respect to a Wollaston prism which separates the right and left circularly polarized light on two avalanche photodiodes (APDs). We denote by I_m the intensity obtained by a set of excitation and detection circular polarizations such as $m = m_0 + \dots + m_n$.

B. Results

Figure 1 shows I_1 and I_3 images obtained with SHG on TTB microcrystals of needle shapes. These molecular crystals are known to be octupolar crystals oriented perpendicularly to their major axis [26]. Due to the crystal orientations, the planar octupolar symmetry is perpendicular to the propagation axis, which leads to unidirectional symmetry in the sample plane. From the intensities I_1 and I_3 the ratio $\xi_3/\xi_1 = \sqrt{I_3/I_1}$ is calculated for each pixel of the image and summarized in a histogram; see Fig. 1(d). Due to the field-invariant rotation along the z axis, the information extracted is also expected

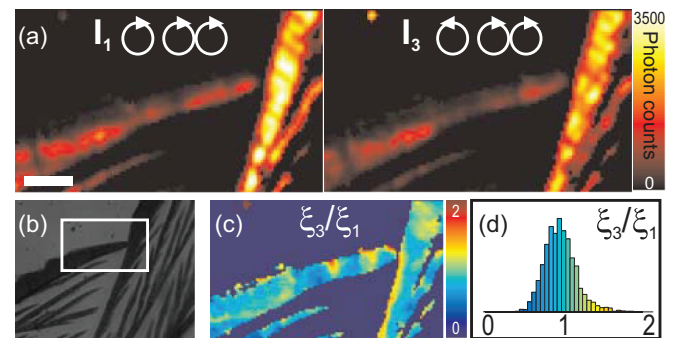


FIG. 1. (Color online) (a) SHG raw images of TTB crystals with dipolar ($\hat{e}_1\hat{e}_1\hat{e}_1$) and octupolar ($\hat{e}_{-1}\hat{e}_1\hat{e}_1$) polarization sets. (b) White light image and selected area for polarization-resolved SHG images. (c) Ratio between third and first orders (see text) and (d) its histogram. Scale bars: 10 μm .

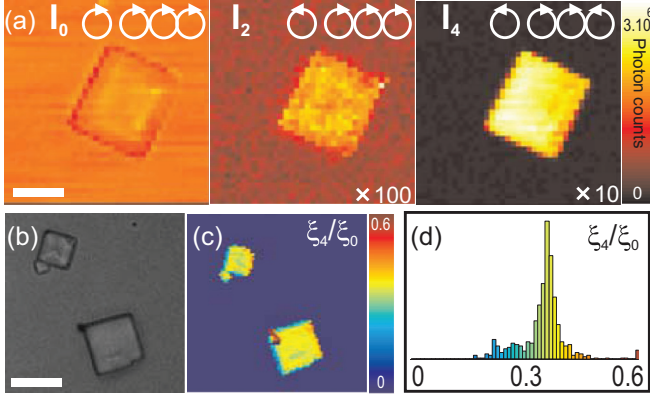


FIG. 2. (Color online) (a) FWM raw images of NaCl microcrystal surrounded by oil with its isotropic ($\hat{e}_1\hat{e}_1\hat{e}_1$), second- ($\hat{e}_{-1}\hat{e}_1\hat{e}_1$), and fourth-order ($\hat{e}_{-1}\hat{e}_1\hat{e}_{-1}$) contributions. (b) White light image of three NaCl crystals with different orientations in the sample plane. (c) Ratio between fourth and zero orders and (d) its histogram. Scale bars: 10 μm .

to be invariant with respect to the orientation of the sample in the sample plane. Except for some specific regions which could be attributed to crystal defects, the value of ξ_3/ξ_1 is indeed confirmed to be homogeneous over the whole crystal. Moreover, the ξ_3/ξ_1 ratio is close to 1, which leads to a similar weight for the dipolar and octupolar contributions, as expected for unidirectional crystals [11].

FWM images of NaCl salt microcrystals are depicted in Fig. 2. The different sets of circular polarizations lead to different information: the isotropic image I_0 shows a strong signal from the crystal and the oil. The I_2 image shows a very weak signal, two orders of magnitude smaller than I_0 (this residual signal is probably due to remaining imperfections in the circular excitation and detection states). Finally, the I_4 image shows a dominant, very strong signal in the NaCl crystals, while it is absent in the oil. This is expected from the fourfold symmetry of NaCl, whereas the oil is an isotropic liquid. As previously, the I_4 magnitude does not depend on the crystal orientation, the ratio $\xi_4/\xi_0 = \sqrt{I_4/I_0}$ being the same for all crystals present in the image; see Fig. 2(c). The ξ_4/ξ_0 ratio gives, moreover, quantitative information about the relative

weight of fourth order and zero (isotropic) orders and is shown to be a fundamental symmetry property of NaCl crystals [17]. Note finally that the fourth-order measurement (I_4) allows complete rejection of the NaCl isotropic contribution present in the crystals. This scheme, in addition to providing contrasted images, is a unique way to filter out symmetry orders without the need of postprocessing.

IV. CONCLUSION

We have applied an irreducible spherical formalism to both fields and matter to express their coupling in nonlinear optics processes. Proper combinations of circular polarization fields were exhibited in order to filter out individually the accessible in-plane symmetry orders present in the probed matter. This readout process reveals quantitative symmetry information regardless of the in-plane orientation of the sample in the measurement plane. This scheme requires finally single, well-defined excitation and detection schemes, which makes it compatible with fast imaging of dynamical processes.

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APPENDIX: MATRICES U AND V

Here we give details of the matrices U and V for the complete symmetric set [1,22].

U_{li}^l	$l_i=0$	$l_i=2$	V_{li}^l	$l_i=1$	$l_i=3$
$l=1$	$\sqrt{5/9}$	$\sqrt{4/9}$	$l=0$	1	
$l=3$		1	$l=2$	$\sqrt{7/10}$	$\sqrt{3/10}$
			$l=4$		1

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